# Performance Study for High Power Density Three-Phase Vienna PFC Rectifier by Using SVPWM Control Method

Ming Zhang<sup>1</sup>, Bin Li<sup>1</sup>, Lijun Hang<sup>1</sup>, Leon M. Tolbert<sup>2</sup>, Zhengyu Lu<sup>1</sup>

<sup>1</sup>College of Electrical Engineering , Zhejiang University

Power Electrical Research Institute

Hangzhou, China

<sup>2</sup>University of Tennessee Electrical Engineering and Computer Science

Knoxville, United States

ABSTRACT-This paper presents one non-regenerative front-end three-phase AC/DC power factor correction (PFC) rectifier, which is based on VIENNA topology. At first, the space vector pulse width modulation method (SVPWM) for this converter is theoretical analyzed and the control method based in d-q frame is given. Then the DC-link voltage utilization ratio is studied based on the SVPWM strategy. The unbalance ability by applying SVPWM method is theoretical analyzed. The theoretical analysis is well verified by the experimental results.

#### I. INTRODUCTION

The non-regenerative three-level boost (Vienna-type) rectifiers have received considerable attention since they offer attractive advantages such as: high input power factor, reduced number of switching devices, and low device voltage stress<sup>[1-2]</sup>. Nowadays, thanks to the development of semiconductors devices and passive components, these rectifiers remain as one of the preferred choices when power density is a design objective, such as vehicular applications, industrial motor drives, power supplies, and etc. [3][4] Space Vector Modulation (SVM), which can increase the utilization of DC voltage and the quality of three-phase current, can solve the problem of unbalanced neutral point voltage by adjusting the effective time of the redundant short-vectors [4–6]. Actually, the voltage level on the switch side is determined both by the switch state and the current direction, which means not all of the switching combinations (or level states) can be achieved when SVM is applied in this topology [7]. However, the reduction of DC voltage and unbalance control ability for this topology can both be greatly increased by applying SVPWM method, which is very attractive for the industrial application.

In Section II, vector modulation strategy for the Vienna rectifier is theoretical analyzed based on the basic three-level SVM. In section III, the DC-link voltage calculation is

This work was supported by National Natural Science Foundation of China (50907059).

implemented. In Section IV, the unbalance control for the dual-output is analyzed, and further the corresponding implementation method is obtained. In section V, the experimental results are given based on the theoretical analysis.

# II. VECTOR MODULATION STRATEGY FOR THE VIENNA RECTIFIER

As shown in Fig.1, the three wires three phase Vienna topology is shown. It consists of six diodes and three bidirectional switching units. S<sub>a</sub>, S<sub>b</sub> and S<sub>c</sub> are the bidirectional switches which are connected to the neutral point of N. Since this type of rectifier is current force commutated, the rectifier pole voltage (V<sub>AN</sub>, V<sub>BN</sub>, V<sub>CN</sub>) is determined by not only the controlled switch state but also the polarity of the ac phase current. For example, if the switch S<sub>a</sub> is off and the phase current  $i_a$  is positive, the phase leg A is clamped to the positive dc link, and therefore, V<sub>AN</sub> is equal to U<sub>o</sub>/2. Similarly, if S<sub>a</sub> is off and  $i_a$  is negative, then  $V_{AN}$  will be  $-U_0/2$ . If the  $S_a$  is on, phase leg A will be clamped to the dc-link neutral point and  $V_{AN}$  will be zero, regardless of  $i_a$  polarity. The same operation principle applies to phase B and phase C. Therefore statespace representation can be obtained, and then used to analyze the system operation.

As shown in Fig.2, three phase input grid side voltage  $V_A$ ,  $V_B$  and  $V_C$  are shown. According to the current forced commuted characteristic of Vienna converter, there only one zero vector can be realized. Fig. 3 shows the space vector representation of the 25 electrical states of Vienna-type rectifiers. These 25 states are a subset of the 27 states of the NPC converter [8][9]. The main constraint of this topology limits the number of active states that can be applied at any given time to a subset of eight vectors depending on the instantaneous polarity of the converter phase currents. So the vector sector for this converter should be divided according to

the synthesized current  $i_{\alpha\beta}$  in the  $\alpha$ - $\beta$  plane. As shown in Fig.2, the phase currents of B and C cross zero from -30 degree to 30 degree. When the equivalent current in  $\alpha$ - $\beta$  plane  $i_{\alpha\beta}$  is located in this section, then it is named as vector section I. Correspondingly, the  $\alpha$ - $\beta$  plane is divided into 6 equivalent sectors, as shown in Fig.3. Whereas, the calculation time of each vector should be based on the modulation reference. In reference [1], the three-level vectors are equaled to the twolevel vectors, as shown in Fig.4. Therefore, a hexagonal region made of the vectors of  $\underline{U}_1$ ,  $\underline{U}_{12}$ ,  $\underline{U}_{02}$ ,  $\underline{U}_{00}$ ,  $\underline{U}_{06}$  and  $\underline{U}_{61}$ , can be used to calculate the activated vector implantation time. The hexagonal region can be regarded as a whole two level vector presentation. Corresponding, the total of six possible subsectors exist, {I, II,..., VI}, which activate six different set of space vectors forming six hexagonal regions. The active states of the vectors equivalently depend on the sector where the phase current vector lies in the  $\alpha$ - $\beta$  plane.

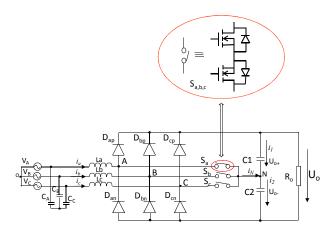


Fig.1Non-regenerative three-level bidirectional-switch VIENNA rectifier

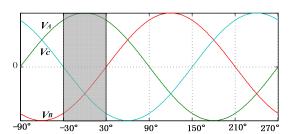


Fig.2 Three-phase input voltage

It is supposed that the three-phase current are exactly in phase with the three-phase voltage. Due to the symmetry of the six sectors, the first sector is used to calculate the implementation time of each vector. As shown in Fig.3, in the first sector of -30° to 30°, the vector of  $\underline{\mathbf{U}}_{02}$  can realize (0,0,-1) due to the polarity of the three phase current shown in Fig.2. Correspondingly, (0,-1,0) can be realized in the vector  $\underline{\mathbf{U}}_{06}$ . As shown in Fig.4, the hexagonal region of sector I is used to equal the three level vector to two level vector. The following equivalent should be made<sup>[3]</sup>,

$$\overrightarrow{U}'_{1} = \underline{U}_{1} - \underline{U}_{01} 
\overrightarrow{U}'_{2} = \underline{U}_{12} - \underline{U}_{01} 
\overrightarrow{U}_{z} = \underline{U}_{01} - \underline{U}_{01} 
\overrightarrow{U}'_{r} = I_{ref} - \underline{U}_{01}$$
(1)

Considering the symmetry of the duty for the SVPWM method, the following equation can be obtained:

$$T_1 \vec{U}'_1 + T_2 \vec{U}'_2 = \frac{T_c}{2} \vec{U}'_r$$
 (2)

$$T_3 = \frac{T_c}{2} - T_1 - T_2 \tag{3}$$

 $\int U_{\alpha} = U'_{r} \cos \theta$ 

Wherein,  $U_{\beta} = U'_{r} \sin \theta$ . From the above equations,  $T_{1}$ ,  $T_{2}$  and  $T_{c}$  can be solved.

#### III. DC-LINK VOLTAGE CALCULATION

It is supposed that the three-phase grid voltage is as follows,

$$V_{A} = V_{m} \sin \omega t$$

$$V_{B} = V_{m} \sin \left(\omega t - \frac{2\pi}{3}\right)$$

$$V_{C} = V_{m} \sin \left(\omega t + \frac{2\pi}{3}\right)$$
(4)

Wherein,  $V_m$  is the maximum of the phase voltage. Its corresponding vector in  $\alpha\beta$  coordinate can be obtained by  $V_{ref} = T_{abc/\alpha\beta} * [V_{ABC}] = V_m e^{i\theta}$ . So three-phase voltage signal can be replaced by the vector of  $V_{ref}$  in the the  $\alpha\beta$  coordinate with

be replaced by the vector of 
$$V_{ref}$$
 in the the  $\alpha\beta$  coordinate we the amplitude of  $V_m$ . Wherein,  $T_{abc/\alpha\beta} = \frac{2}{3} \begin{bmatrix} 1 & -1/2 & -1/2 \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix}$ .

It is supposed that  $U_{o+}=U_{o-}=U_{o}/2=V_{dc}$ . Therefore, the following model can be concluded,

$$\begin{cases} v_{Ao} = \frac{V_{dc}}{3} \left[ 2 \operatorname{sgn}(i_A) - \operatorname{sgn}(i_B) - \operatorname{sgn}(i_C) + s_B \operatorname{sgn}(i_B) + s_C \operatorname{sgn}(i_C) - 2s_A \operatorname{sgn}(i_A) \right] \\ v_{Bo} = \frac{V_{dc}}{3} \left[ 2 \operatorname{sgn}(i_B) - \operatorname{sgn}(i_A) - \operatorname{sgn}(i_C) + s_A \operatorname{sgn}(i_A) + s_C \operatorname{sgn}(i_C) - 2s_B \operatorname{sgn}(i_B) \right] \\ v_{Co} = \frac{V_{dc}}{3} \left[ 2 \operatorname{sgn}(i_C) - \operatorname{sgn}(i_B) - \operatorname{sgn}(i_A) + s_B \operatorname{sgn}(i_B) + s_A \operatorname{sgn}(i_A) - 2s_C \operatorname{sgn}(i_C) \right] \end{cases}$$
(5)

Wherein,  $S_i$  (i=a,b,c) is the switching function. When the switch of phase i is on,  $S_i$  is 1, otherwise  $S_i$  is zero. The whole stationary coordinate can be divided into 6 basic sections, as shown in Fig.3. It can be obtained that the large vector of  $\underline{\mathbf{U}}_1$  can be expressed as  $\underline{\mathbf{U}}_1 = (\mathbf{V}_{dc}4/3)\mathbf{e}^{0*j}$ . The other 5 basic large vectors  $\underline{\mathbf{U}}_2$ ,  $\underline{\mathbf{U}}_3$ ,  $\underline{\mathbf{U}}_4$ ,  $\underline{\mathbf{U}}_5$  and  $\underline{\mathbf{U}}_6$  can be obtained as well. According to the above analysis, the amplitude of  $\underline{\mathbf{U}}_{12}$  can be

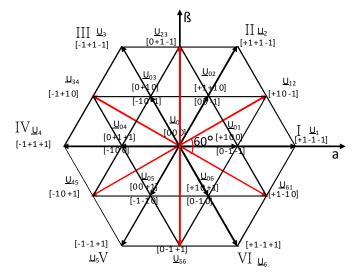


Fig.3 Space vectors of three-level converter

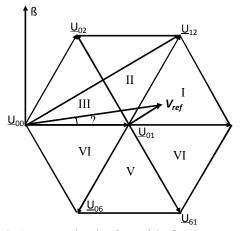


Fig.4 Hexagonal region form of the first Sector

obtained as,  $|\underline{U}_{12}| = \frac{2}{\sqrt{3}} V_{dc}$ . As shown in Fig.3, it is

supposed the vector of  $V_{ref}$  belongs to the first section, i.e. -30° to 30° and the subsection I. Therefore, the adjacent vectors are  $\underline{\mathbf{U}}_1$ ,  $\underline{\mathbf{U}}_{12}$  and  $\underline{\mathbf{U}}_{01}$ , which can be used to synthesize the vector of  $V_{ref}$ . The implementation time of above three vectors can be obtained as follows<sup>[5][6]</sup>,

$$\begin{cases} T_1 = \sqrt{3}T_c m \cos \theta - T_c m \sin \theta - T_c \\ T_2 = 2T_c m \sin \theta \\ T_3 = 2T_c - \sqrt{3}T_c m \cos \theta - T_c m \sin \theta \end{cases}$$
(6)

Then T<sub>3</sub> can be obtained as follows,

$$T_3 = T_c \left[ 2 - 2m \sin \left( \theta + \frac{\pi}{3} \right) \right] \quad 0 \le \theta \le \frac{\pi}{6}$$

From Eq.(3),  $T_3$  should be larger than 0. Therefore,  $2V_{dc}$  can be obtained as follows,  $2V_{dc} \ge \sqrt{3}V_m$ . So the theoretical minimal DC-link voltage is obtained. Due to the switch characteristic of the topology, the converter's dead-time is reduced compared with the traditional PWM rectifier. So the dead-time influence can be reduced and the DC-link

utilization ratio can be increased without performance deterioration.

#### IV. UNBALANCE CONTROL

It is supposed that  $V_{ref}$  is located in the first section. When (100) works, the current of  $i_N=i_a+i_b$ . Due to the direction of three phase current,  $(i_a>0, i_b<0 \text{ and } i_c<0)$ , the neutral point N is discharged, correspondingly,  $U_{o+}$  is decreased and  $U_{o-}$  is increased. However, when the vector of (0-1-1) works, the neutral point N is charged, correspondingly,  $U_{o+}$  is increased and  $U_{o-}$  is decreased. Therefore,  $\underline{U}_{01}$  is the redundant vector and it can be used to control the neutral point voltage. As shown in Fig.4, suppose 0.5 < m < 1, so  $V_{ref}$  is located in subsection I, II, V and VI. It is supposed that the charging and discharging time for neutral point N is respective V and V1-during every switching period. Therefore, when the vector is located in the subsection I, the total current V1-for the neutral point can be obtained as follows,

$$i_{N} = i_{in} + i_{out} = \frac{1}{T_{1}} \int_{0}^{T_{1}|_{\theta=\varphi}} \left[ ri_{a} + (T_{3} - r)(i_{b} + i_{c}) \right] d_{t}$$

$$= \frac{1}{T_{1}} \int_{0}^{T_{1}|_{\theta=\varphi}} \left[ r(i_{a} - i_{b} - i_{c}) + T_{3}(i_{b} + i_{c}) \right] d_{t}$$

$$= \frac{1}{T_{1}} \int_{0}^{T_{1}|_{\theta=\varphi}} \left[ (2r - T_{3})i_{a} \right] d_{t}$$
(8)

Three phase current is supposed to be: 
$$i_a = I_m \sin \omega t \quad i_b = I_m \sin \left(\omega t - \frac{2\pi}{3}\right) \quad i_c = I_m \sin \left(\omega t + \frac{2\pi}{3}\right) \quad \text{It}$$

is supposed that the unbalanced load is paralleled connected with C2 and the unbalanced limitation is to make the neutral point current be charged, therefore  $r=T_3$ , the current of  $i_N$  is can be concluded as follows,

$$i_{m} + i_{out} = \frac{1}{\omega T_{1}} 2I_{m} \sin \varphi + \frac{1}{2\omega T_{1}} mI_{m} \left[ \cos \left( 2\varphi + \frac{\pi}{3} \right) - \cos \frac{\pi}{3} \right] - mI_{m} \sin \frac{\pi}{3}$$
(9)

Wherein, m is supposed to be 0.748, then from (6),  $\varphi$  can be obtained, which is  $\pi/10$ . On the other hand,  $\omega=2\pi f$ ,  $T_1=\varphi/2\pi f=1/20f$ ,  $T_c=1/f_s$ . f is the line frequency and  $f_s$  is the switching frequency. So finally, the total charged current can be obtained as  $i_m+i_{out}\approx 0.6I_m$ . Correspondingly, if  $V_{ref}$  is located in other subsections, the unbalanced ability by using the SVPWM method in Vienna converter can be concluded. It will be given in the final paper. From the above analysis, when  $V_{ref}$  is located in the first section(-30° to 30°), the average unbalanced charged current to the neutral point can be obtained as,

$$I_{N} = I_{m} \left[ 0.36 \times \left( \frac{pi}{6} - \frac{pi}{10} \right) + 0.6 \times \frac{pi}{10} \right] / \left[ \left( \frac{pi}{6} - \frac{pi}{10} \right) + \frac{pi}{10} \right]$$

According to the power balance between the input and the

output, the following relationship can be obtained  $\begin{cases} (i_1+i_2)V_{dc}=3\frac{I_m}{\sqrt{2}}V_{grid}\\ i_1-i_2=0.504I_m \end{cases}.$ 

Therefore, the unbalance output  $i_1$  and  $i_2$  can be obtained, and the factor of  $i_2/i_1$  can be used to evaluate the unbalance ability of the control method. The relationship of unbalance factor versus the modulation ratio is given in Fig.5.

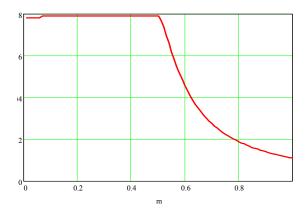


Fig. 5 Relation of modulation index and the ability of DC-link neutral voltage balancing

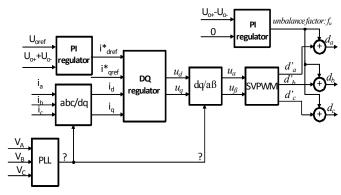


Fig.6 Controller for the system

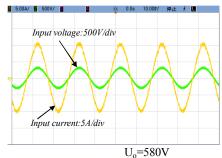


Fig.7 Input voltage and current at different output: time.10ms/div

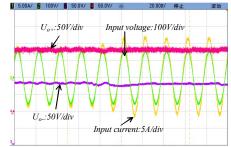


Fig.8 Transient response of unbalanced load: time,20ms/div, input=110VAC

## V. EXPERIMENTAL RESULTS

The above analysis is verified both by experimental result. The experimental prototype was implemented in DSP board and whose system controller is shown in Fig.6.

Table. I, Fig.7, Fig.8 and Fig.9 show the experimental results. Fig.7 shows the input voltage and current when the DC output is reduced to 580V. Fig.8 gives the transient response when the unbalanced load is paralleled with C2. The test condition of Fig.8 is as follows: input voltage is 110VAC, total output voltage is 360V, the total load is  $70.9\Omega$ , unbalance load connected to C2 is  $48.6\Omega$ . This value is the maximum unbalance ability when m=0.761, when  $T_3$  is assigned to charging vector for the neutral point. The unbalance control for this converter can be verified for different modulation ratio by theoretical analysis and experiments. Fig.9 gives the tested PF value under different power modulation index. During wide modulation index range, the PF value can be larger than 0.998, which proves the advantage of the SVM method. Table I gives the THD and different harmonics components of input current at the rated power level for different output voltage.

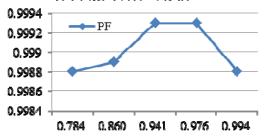


Fig.9 Relation between modulation index and power factor

TABLE I. INPUT CURRENT HARMONICS ANALYSIS VERSUS  $U_O$  (INPUT=220VAC)

$U_{o}(V)$	THD	$3^{\rm rd}$	5 <sup>th</sup>	$7^{\mathrm{th}}$	Output
					power(W)
700	5.20%	2.78%	1.25%	1.35%	4683.36
650	3.97%	2.02%	0.25%	0.91%	4596.24
580	3.88%	1.61%	0.79%	0.32%	4625.28

# VI. CONCLUSIONS

This paper presents one non-regenerative front-end three-phase AC/DC power factor correction (PFC) rectifier, which is based on VIENNA topology. At first, the space vector pulse width modulation method (SVPWM) for this converter is theoretical analyzed and the control method based in d-q frame is given. Then the DC-link voltage utilization ratio is studied based on the SVPWM strategy. The unbalance ability by applying SVPWM method is theoretical analyzed. Finally, the theoretical analysis is well verified by experimental results.

#### ACKNOWLEDGMENT

This work was supported by National Natural Science Foundation of China (50907059): Research of the Real-time Character of Network-controlled Power Electronics System.

### REFERENCES

- [1]. J. W. Kolar, H. Ertl, and F. Zach, "Design and experimental investigation of a three-phase high power density high efficiency unity power factor PWM (VIENNA) rectifier employing a novel integrated power semiconductor module," in Conf. IEEE APEC'96, vol. 2, pp. 514-523, Mar. 1996.
- [2]. J. Minibock, and J. Kolar, "Comparative theoretical and experimental evaluation of bridge-leg topologies of a three-phase three-level unity power factor rectifier," in Conf. IEEE PESC'01, vol. 4 pp. 1641-1646, June 2001.
- [3]. Rolando Burgos, Rixin Lai, Yunqing Pei, Fei (Fred) Wang, Dushan Boroyevich, and Josep Pou, "Space Vector Modulator for Vienna-

- Type Rectifiers Based on the Equivalence Between Two- and Three-Level Converters: A Carrier-Based Implementation", IEEE TRANSACTIONS ON POWER ELECTRONICS, VOL. 23, NO. 4, JULY 2008,pp.1888-1898.
- [4]. Rixin Lai, Fei (Fred) Wang, Rolando Burgos, Dushan Boroyevich, etc, "Average Modeling and Control Design for VIENNA-Type Rectifiers Considering the DC-Link Voltage Balance", IEEE TRANSACTIONS ON POWER ELECTRONICS, VOL. 24, NO. 11, NOVEMBER 2009. Pp.2509-2522.
- [5]. M. Malinowski, M. Jasinski, and M. P. Kazmierkowski, "Simple direct power control of three-phase PWM rectifier using space-vector modulation (DPC-SVM)," IEEE Trans. Ind. Electron., vol. 51, no. 2, pp. 447–454, Apr. 2004.
- [6]. D. G. Holmes, "The significance of zero space vector placement for carrier-based PWM schemes," IEEE Trans. Ind. Appl., vol. 32, no. 5, pp. 1122–1129, Sep./Oct. 1996.
- [7]. B. P. McGrath, D. G. Holmes, and T. Lipo, "Optimized space vector switching sequences for multilevel inverters," IEEE Trans. Power Electron., vol. 18, pp. 1293–1301, Nov. 2003.
- [8]. G. Carrara, S. Gardella, M. Marchesoni, R. Salutari, and G. Sciutto, A New Multilevel PWM Method: A Theoretical Analysis," IEEE Trans. Power Electron., vol. 7, pp. 497–505, Jul. 1992.
- [9]. J. K. Steinke, "Switching Frequency Optimal PWM Control of a Three-Level Inverter," IEEE Trans. Power Electron., vol. 7, pp. 487–496, Jul. 1992.